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JAN 2 0 2004 Ed De Initial F

21	ATION FOR UTILITY OR DES COMBINED WITH	SIGN PATENT APPL POWER OF ATTORI	•	CFR 1.63)
النظام Declaration Submitted with	☑ Declaration Submitted afte	r Attorney Docket	Number	IS01407MCG
Anitial Filing	Initial Filing (surcharge (37 CFR 1.16(e)) required)	First Named Inve	ntor	Harold N. Rosenstock
		Application Num	ber	10/676,991
		Filing Date		September 30, 2003
Regular (Utility) Application	Design application	Group Art Unit	_	
		Examiner Name	_	-
				-
As a below named inventor, I h	ereby declare that:			
My residence, post office address	s, and citizenship are as stated be	elow next to my name.		
	nd sole inventor (if only one namer which is claimed and for which a			nd joint inventor (if plural names are ed:
METHOD OF	REPLICATING DATABASE ELEI	MENTS IN AN INFINIBA	AND ARCHITEC	TURE SUBNET
the specification of which:				
is attached hereto	was filed	on: Septemb	er 30, 2003	
	as U.S. S	erial No.:10/676,9	91	
	and was a	amended		
	on:		(if applicat	ble)
I hereby state that I have reviewe amendment referred to above.	d and understand the contents of	the above-identified sp	ecification, inclu	ding the claims, as amended by any
I acknowledge the duty to disclo Federal Regulations, Section 1.56		to the patentability of th	nis application in	n accordance with Title 37, Code of
patent or inventor's certificate(s),	or 365(a) of any PCT internation and have also identified below	al application which des w, by checking the bo	ignated at least x, any foreign	(b) of any foreign application(s) for one country other than the United application for patent, inventor's th priority is claimed:
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
				☐ Yes ☐ No
				Yes No
Additional faction on the state of the state	numbers are listed on a supplem		DTO/02/002	

Provisional Application Serial No.:		
Provisional Application Filing Date:		
insofar as the subject matter of each of the provided by the first paragraph of Title 35,	claims of this application is not disclosed United States Code, Section 112, I ackno Ilations, Section 1.56(a) which is materia	f any United States application(s) listed below and, in the prior United States application in the manner whedge the duty to disclose material information as all to the patentability of this application and which tional filing date of this application:
Prior U.S. Application(s):		
	plication(s) filed ation(s) identified as follows:	
Application No.	Filing Date (day, month, year)	Status (Patented, Pending, Abandoned)
	(uay, monur, year)	(Faterited, Feriding, Abandoned)
application(s), if any, which I have identified or used in the United States before my invention thereof or more than one year prior than one year prior to the first of said earlies subject of an inventor's certificate before the an application, filed by me or my legal representent application) prior to the first of said which is not common to said earlier application united States before my invention thereof or more than one year prior to the date of this of this application, and that said subject mat	above and claimed the benefit of priority the vention thereof or patented or described or to the first of said earlier application(s), and that the said common edate of the first of said earlier U.S. application(s), if any; and that the said common edate of the first of said earlier U.S. application(s), if any; and that the said common earlier U.S. application(s), if any; and that the transport of the said application, or in public use or on sale in the ter has not been patented or made the said or may legal representatives or assigned.	is common to my earlier United States or foreign nereof, I do not believe that the same was ever known in any printed publication in any country before my or in public use or on sale in the United States more on subject matter has not been patented or made the ation(s) in any country foreign to the United States on onths (six months if the present application is a Design as to any claimed subject matter of this application believe that the same was ever known or used in the oblication in any country before my invention thereof or the United States more than one year prior to the date bject of an inventor's certificate in any country foreign as more than twelve months (six months if the present
		to prosper to this application and transact all business
I hereby appoint the attorney(s) or agent(s) a in the patent and trademark office connected Address all telephone calls to:		_to prosecute this application and transact an business

IS01407MCG

Address all correspondence to: Customer Number 23330

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first-named or s	sole inventor	HAROLD N. ROSENSTOCK	
Inventor's signature	DeroCol 11/2	BSENTE Bate	11/12/03
Residence Boxb	orough		MASSACHUSETTS
	City	State	or Foreign Country
Citizenship United	States of America		
	Country of Citizenship		
Post Office Address	200 Old Harvard Road		
		Street Address	
Boxborough	MA	ASSACHUSETTS	01719
City	Sta	te or Country	Zip Code

Full name of second	I-named joint inventor	RICHARD DYKIEL		-
Inventor's signature			Date	
Residence	Acton		MASSACHUSETTS	
	City		State or Foreign Country	
Citizenship	France Country of Citiz	enship		
Post Office Address	29 Ethan Allen	Drive Street Address		
Acton		MASSACHUSETTS	01720	
Ci	ty	State or Country	Zip Code	

Full name of third	-named joint inventor	MICHAEL LEIBENS	PERGER	
Inventor's signatu	re		Date	
Residence	Somerville		MASSACHUSETTS	
	City		State or Foreign Country	
Citizenship	United States of America Country of Citizens	ship		
Post Office Addre	ss <u>26 Claremon Stre</u>	et, Unit #2		
	•	Street Address		
Som	nerville	MASSACHUSETTS	02144	
	City	State or Country	Zip Code	

Full name of fourth-named	joint inventor	NEHRU BHANDA	ARU		
Inventor's signature	Nehru B	shandan	Date	11/12/03	
Residence Sud	lbury		M	ASSACHUSETTS	
	City		State of	or Foreign Country	
Citizenship United	States of America Country of Citizenship)	 .		
Post Office Address	52 Surrey Lane				
		Street Address			
Sudbury		MASSACHUSETTS		01776	
City		State or Country		Zip Code	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Rosenstock et al.

Examiner:

TBA

Serial No.:

10/676,991

Group:

TBA

Filed:

09/30/2003

Case No:

IS01407MCG

Entitled:

METHOD OF REPLICATING DATABASE ELEMENTS IN AN

INFINIBAND ARCHITECTURE SUBNET

DECLARATION OF KEVIN D. WILLS UNDER 37 C.F.R. § 1.47

I, Kevin D. Wills, hereby state as follows:

- 1. I am a Patent Attorney in the Law Department at Motorola, Inc. ("Motorola"), in Phoenix, Arizona. My responsibilities include working with Motorola employees and non-Motorola employees to prepare and prosecute patent applications for Motorola.
- 2. On September 4, 2003, I contacted (by phone) Richard Dykiel, who currently work for Sun Microsystems, Inc. I informed him that he was an inventor on the above patent application. I inquired if he would review the claims, specification and drawings, and sign a Declaration and Assignment pertaining to the above patent application. Richard Dykiel clearly understood exactly what he was being asked to review and sign. Richard Dykiel said he would have to consult with his in-house counsel first.
- 3. On September 9, 2003, I was contacted by phone by Bernice Chen, Assistant General Counsel for Sun Microsystems, Inc. Bernice Chen indicated that Richard Dykiel would not be cooperating in pursuing the above patent application, Richard Dykiel would not be signing the Declaration and Assignment pertaining to the above patent application, and NOT to send copies of the claims, specification and drawings, or the Declaration and Assignment to Richard Dykiel, or herself. Bernice Chen also indicated I was to have no further contact with Richard Dykiel regarding this patent application.

IS01407MCG

2

4. On September 10, 2003, I received an express written refusal (by email) from Richard Dykiel to cooperate in pursuing the above patent application, which includes a

refusal to receive copies of the claims, specification and drawings, and a refusal to sign

the Declaration and Assignment. The email is attached.

5. On September 17, 2003, I received an email from Bernice Chen giving me the

current addresses of Richard Dykiel, and implicitly confirming my acknowledgment of

her request to have no further contact with Richard Dykiel. The email is attached.

6. Enclosed please find copies of the emails indicating my attempts to gain the

cooperation of Richard Dykiel in the pursuit of the above patent application.

I declare under penalty of perjury that the statements made by me in this Declaration

are true and correct.

Respectfully submitted,

DATE: 1-14-04

KEVIN D. WILLS MOTOROLA, INC. Patent Attorney Law Department

-Wills-Kevin-g14781-

From:

Richard Dykiel [richard.dykiel@sun.com] Wednesday, September 10, 2003 6:22 AM

S nt: To:

Kevin.Wills

Cc:

Bernice Chen; Diana Klashman; Richard Lagueux; Richard Dykiel

Subj ct:

Motorola patent application

Kevin,

In compliance with my company's decision, I must inform you that I will not be cooperating to complete the patent application you contacted me for.

Please contact Bernice Chen, the Sun patent attorney following this case, for any additional question.

Best regards, Richard Dykiel. JAN 2 0 2004 - 5

Wills Kevin-g14781

From: Bernice Chen [Bernice Chen@Sun.COM]

Sent: Wednesday, September 17, 2003 4:11 PM

To: Wills Kevin-g14781

Subject: Re: Motorola patent application

Kevin,

Below are the inventor information you have requested.

Richard A. Lagueux 11 Pinewood Rd. Hudson, NH 03051 Citizenship: United States of America

Richard Dykiel 29, Ethan Allen dr. Acton, MA 01720 Citizenship: French

Regards,

Bernice

Wills Kevin-g14781 wrote:

Bernice:

It was nice chatting with you yesterday. The only thing I will need to follow through with what we discussed are the full names, home addresses and citizenship of both Richard Dykiel and Richard Lagueux. I wanted to get this information through you and respect your wishes that I have no further contact with these two individuals. Thanks for your help.

Regards,

Kevin Wills Patent Attorney Motorola ----Original Message-----

From: Richard Dykiel [mailto:richard.dykiel@sun.com]

Sent: Wednesday, September 10, 2003 6:22 AM

To: Kevin.Wills

Cc: Bernice Chen; Diana Klashman; Richard Lagueux; Richard Dykiel

Subject: Motorola patent application

Kevin,

In compliance with my company's decision, I must inform you that I will not be cooperating to complete the patent application you contacted me for.

Please contact Bernice Chen, the Sun patent attorney following this case, for any additional question.

Best regards, Richard Dykiel.

This e-mail may contain privileged and/or confidential information intended for the exclusive use of the addressee(s). Any unauthorized review, use, disclosure or distribution is prohibited. If you are not the intended recipient, please contact the sender and destroy all copies.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Rosenstock et al.

Examiner:

TBA

Serial No.:

10/676,991

Group:

TBA

Filed:

09/30/2003

Case No:

IS01407MCG

Entitled:

METHOD OF REPLICATING DATABASE ELEMENTS IN AN

INFINIBAND ARCHITECTURE SUBNET

DECLARATION OF KEVIN D. WILLS UNDER 37 C.F.R. § 1.47

I, Kevin D. Wills, hereby state as follows:

- 1. I am a Patent Attorney in the Law Department at Motorola, Inc. ("Motorola"), in Phoenix, Arizona. My responsibilities include working with Motorola employees and non-Motorola employees to prepare and prosecute patent applications for Motorola.
- 2. On September 6, 2003, I contacted Michael Leibensperger via email to inquire if he would cooperate in the filing of the above patent application. I received no reply to the email. The email is attached.
- 3. On or about September 20, 2003, I received a phone call from Michael Leibensperger indicating that he would not cooperate in reviewing the application papers and he would not sign the Declaration. I believe that Michael Leibensperger clearly understood exactly what he was being asked to review and sign.
- 4. After Michael Leibensperger received a copy of the application papers, which included specification, claims, drawings, Declaration and Assignment, I received a letter from Michael Leibensperger on October 17, 2003, indicating that he had received the application papers and that he would not cooperate. A redacted copy of the letter is attached.

5. On October 21, 2003, I received an email from Michael Leibensperger indicating that he shredded the application papers. The email is attached.

I declare under penalty of perjury that the statements made by me in this Declaration are true and correct.

Respectfully submitted,

Kin D. Wills

DATE: 1-14-04

KEVIN D. WILLS MOTOROLA, INC. Patent Attorney Law Department Wills_K vin-g14781

From:

Wills Kevinsg 14781

Sent:

Saturday, September 06, 2003 12:11 PM

T : Subject: 'mjl@alumni.cmu.edu' Paceline patents

Michael:

My name is Kevin Wills and I am a patent attorney with Motorola. I am working on some patent applications that might pertain to your work at Paceline. You may be an inventor on one more of these patent applications. I was hoping to set up a time where I could give you a call and discuss this with you. Time is of the essence in this matter.

Please let me know if a time that would be good to contact you and a phone number where I might call. I appreicate your cooperation on this.

Regards,

Kevin Wills Patent Attorney, Motorola, Inc. (602) 952-4364

Wills K vin-g14781

Fr m:

Bernice Chen [Bernice.Chen@Sun.COM]

Sent:

Wednesday, September 17, 2003 4:11 PM

To:

Wills Kevin-g14781

Subject: Re: Motorola patent application

Kevin,

Below are the inventor information you have requested.

Richard A. Lagueux
11 Pinewood Rd.
Hudson, NH 03051
Citiganship: United States

Citizenship: United States of America

Richard Dykiel 29, Ethan Allen dr. Acton, MA 01720 Citizenship: French

Regards,

Bernice

Wills Kevin-g14781 wrote:

Bernice:

It was nice chatting with you yesterday. The only thing I will need to follow through with what we discussed are the full names, home addresses and citizenship of both Richard Dykiel and Richard Lagueux. I wanted to get this information through you and respect your wishes that I have no further contact with these two individuals. Thanks for your help.

Regards,

Kevin Wills Patent Attorney Motorola ----Original Message----

From: Richard Dykiel [mailto:richard.dykiel@sun.com]

Sent: Wednesday, September 10, 2003 6:22 AM

To: Kevin.Wills

Cc: Bernice Chen; Diana Klashman; Richard Lagueux; Richard Dykiel

Subject: Motorola patent application

Kevin,

In compliance with my company's decision, I must inform you that I will not be cooperating to complete the patent application you contacted me for.

Please contact Bernice Chen, the Sun patent attorney following this case, for any additional question.

Best regards, Richard Dykiel.

/___/\ Bernice B. Chen
/___/\ Assistant General Counsel
/___/\/ Sun Microsystems, Inc.
/___/\// Mail Stop USUN05-204
/___/\// 910 Hermosa Court
Sunnyvale, CA 94085-4199
___/\// // (408)720-4842 (x6-4842)
___/\// (408)720-2006 (x6-2006)
___/\// bernice.chen@sun.com

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26 Claremon St., Apt. 2 Somerville MA 02144 17 October 2003

Mr. Kevin D. Wills c/o V. Lynn Webb Motorola, Inc. Law Department 3102 North 56th St. Maildrop 56–238 Phoenix AZ 85018

Re: Patent applications IS01408MCG, "InfiniBand Architecture Subnet Replicated Database Elements" and IS01407MCG, "Method of Replicating Database Elements in an InfiniBand Architecture Subnet."

Dear Mr. Wills,

I have received the paperwork for the above mentioned patent applications. I regret to inform you the state of the state o



Since the documents you sent me are marked "Motorola Confidential," I will gladly either shred them or (upon receipt of postage costs) mail them back to you at your discretion. Let me know which you prefer.

Sincerely,

Michael J. Leibensperger

Michael J. Leibensberger

Wills Kevin-g14781

From:

S nt:

To:

Re: Patent Applications Subject: No prob. I have just now finished shredding them. mj1 > Mike: > In that case, go ahead and shred them. Sorry if there was any > misunderstanding. > Kevin > ----Original Message----> From: Mike Leibensperger [mailto:mjl@alumni.cmu.edu] > Sent: Monday, October 20, 2003 6:57 PM > To: Wills Kevin-g14781 > Subject: Re: Patent Applications > Hi Kevin, > Sorry, but there was no prepaid Fedex envelope; if there had been, I > wouldn't have needed to ask what you wanted me to do with the > documents. It cost Motorola roughly \$11.00 for each of the two pieces > to send them to me, and I expect that's what it'll cost to send them back by FedEx. You can send a check for \$22.00 to me at: 26 Claremon St., Apt. 2 Somerville MA 02144 Or I can shred them for free. Let me know. > Cheers, mj1 > Mike: > > Thanks for the note. I was looking for a simple statement of > non-cooperation, but I guess this will suffice. There was a prepaid > Fedex envelope included if you could please use it to send back the > materials. > > > > Regards, > > > > Kevin Wills > Mike Leibensperger ...mjl at alumni dot cmu dot edu... > "Men never do evil so completely or cheerfully as when they do it from > religious conviction." -- Blaise Pascal, 17th century Jesuit

Mike Leibensperger [mjl@alumni.cmu.edu]

Tuesday, October 21, 2003 6:34 PM

Wills Kevin-g14781